

Potential interplay between ab-initio and energy density functional approaches

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NSCL, Michigan State University, USA

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Introduction

Microscopic theoretical approaches

Ab-initio many-body theories

- Based on *elementary* interactions
- *Complete* and disjointed error estimate

Limited reach

Controlled extrapolations

Test fundamental interactions

Do not focus on accuracy at first

Extended reach

Uncontrolled extrapolations

Do not probe fundamental interactions
Aims at high accuracy around known data

Effective many-body theories

- Based on *effective* interactions
- *Partial* and composite error estimate



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Expertise historically
weak in France

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Status of *ab-initio* many-body theories

Ab-initio many-body theories

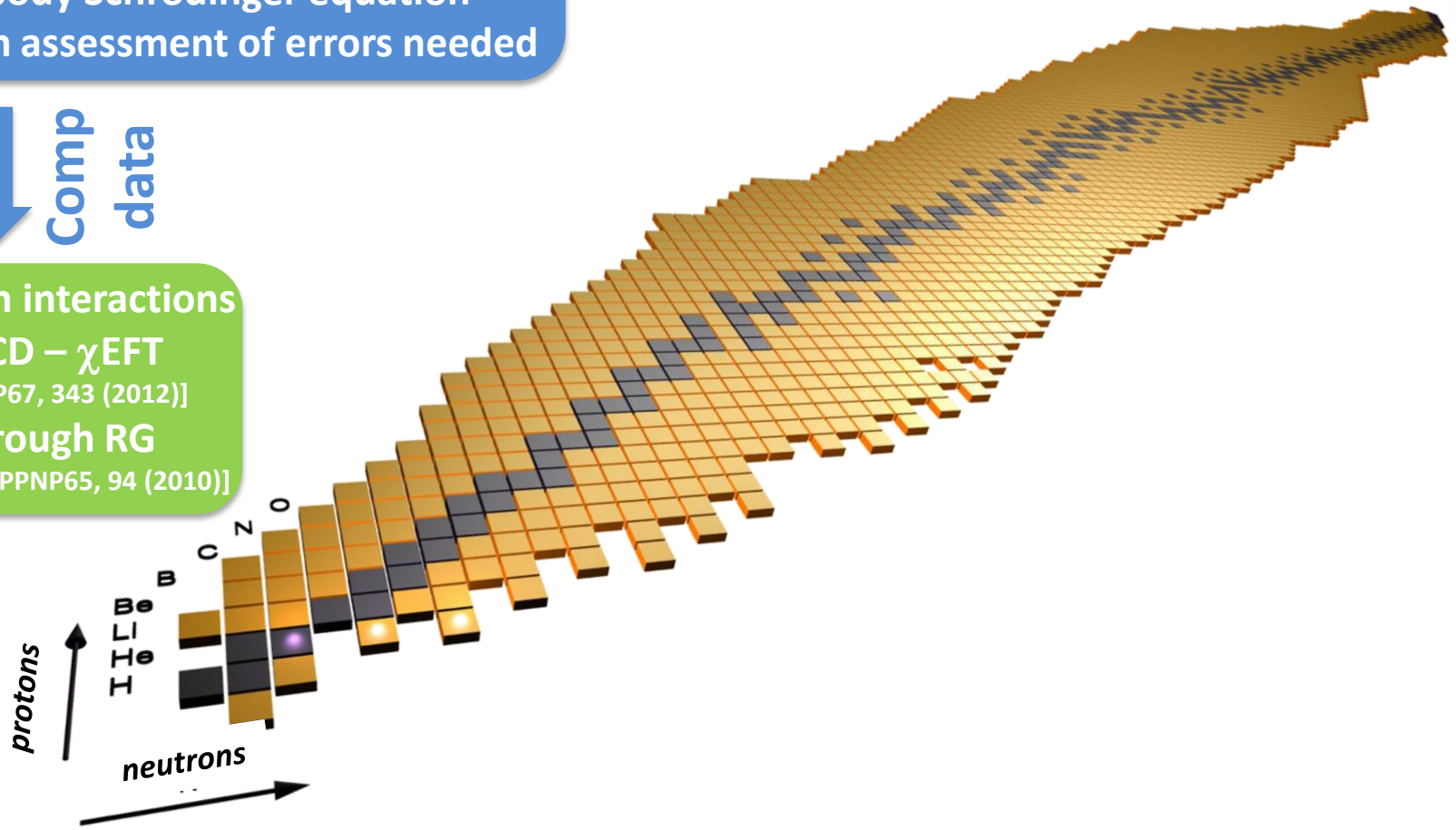
- Effective structure-less nucleons
- 2N + 3N + ... inter-nucleon interactions
- Solve A-body Schrödinger equation
- Thorough assessment of errors needed

Controlled extrapolations
Limited applicability domain



Inter-nucleon interactions

- Link to QCD – χ EFT
 [E. Epelbaum, PPNP67, 343 (2012)]
- Soften through RG
 [S.K. Bogner *et al.*, PPNP65, 94 (2010)]



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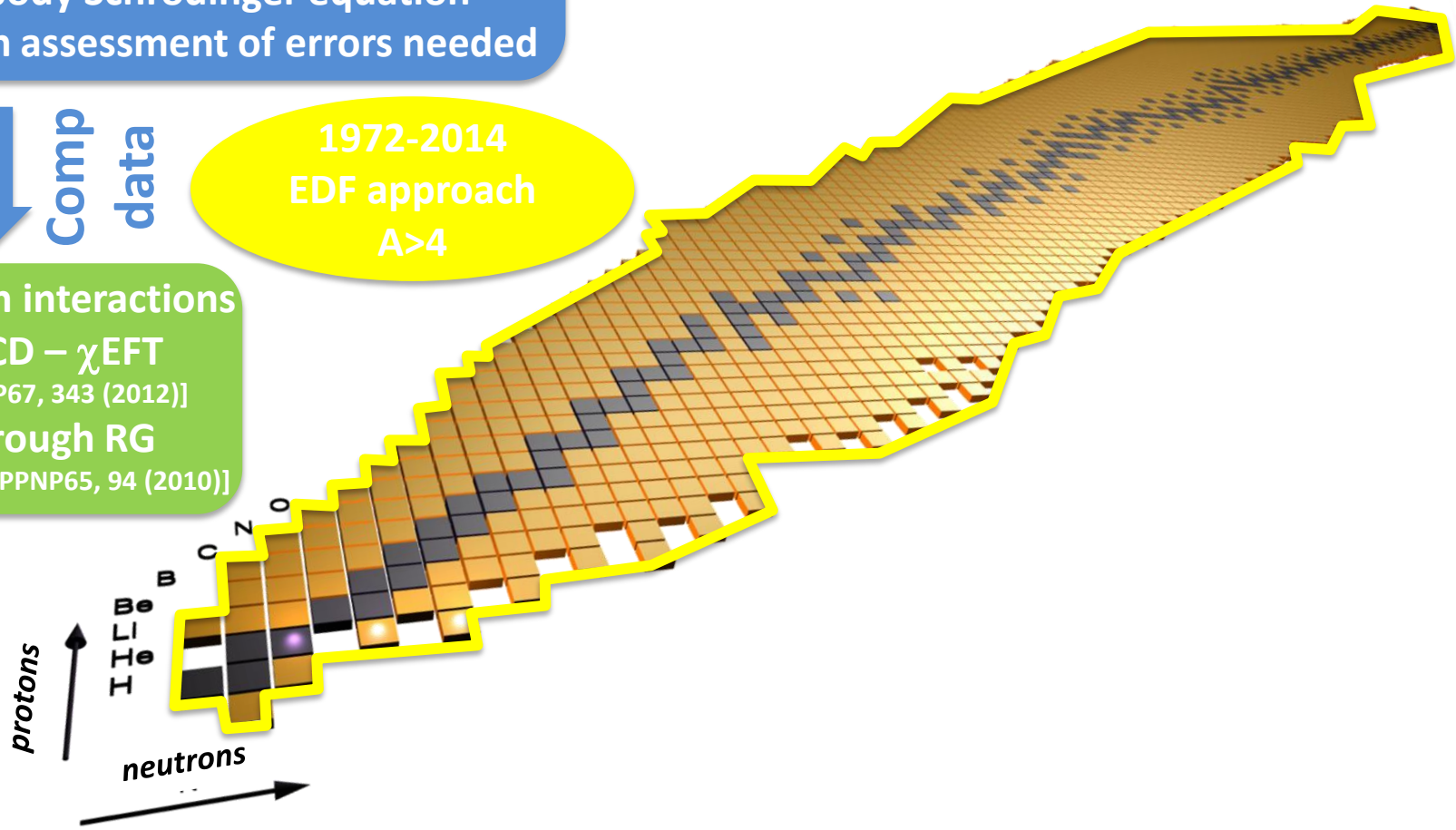
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1972-2014
EDF approach
A > 4

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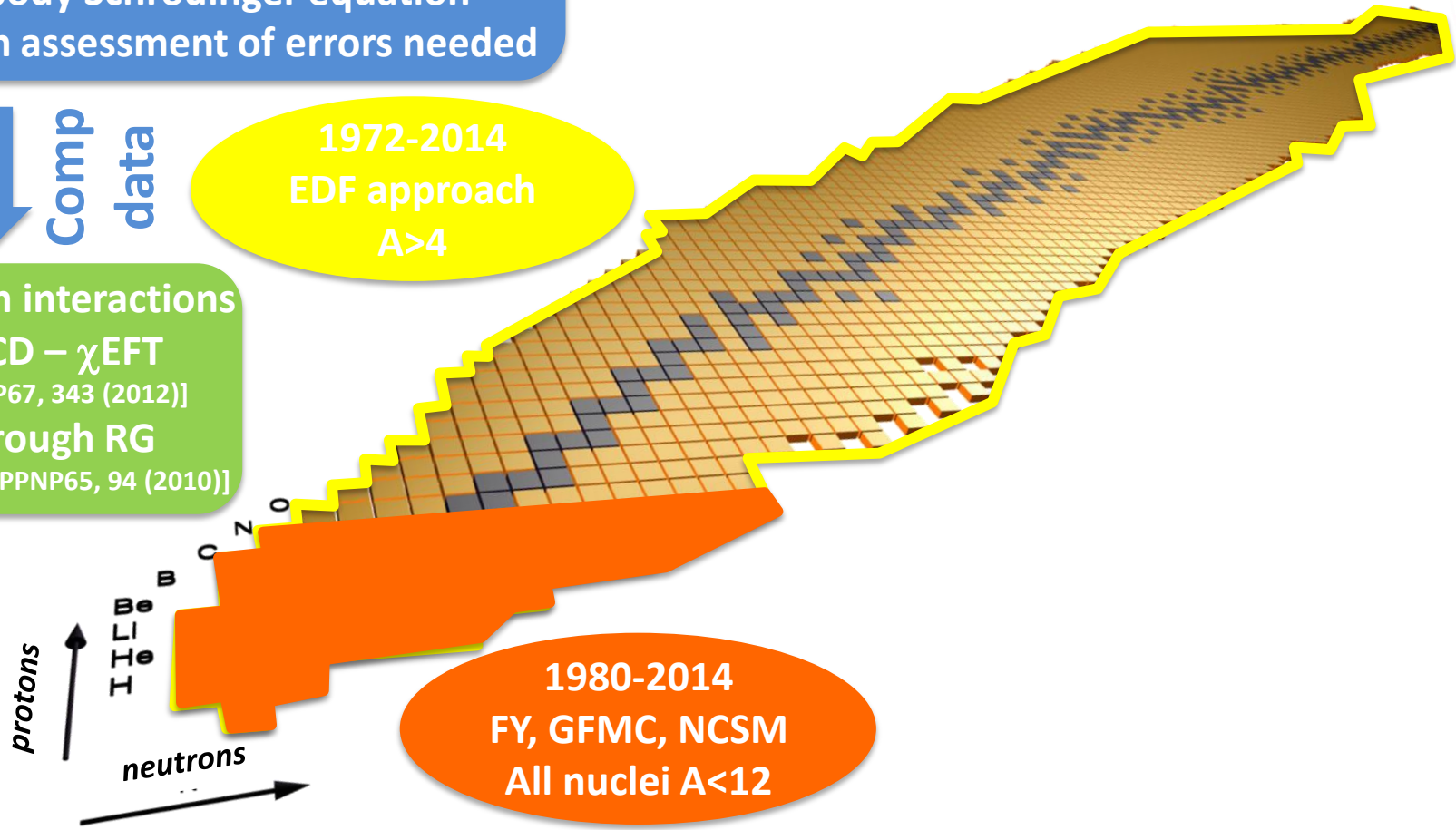
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1980-2014
FY, GFMC, NCSM
All nuclei $A < 12$

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↑ **Input** ↓ **Comp** **data**

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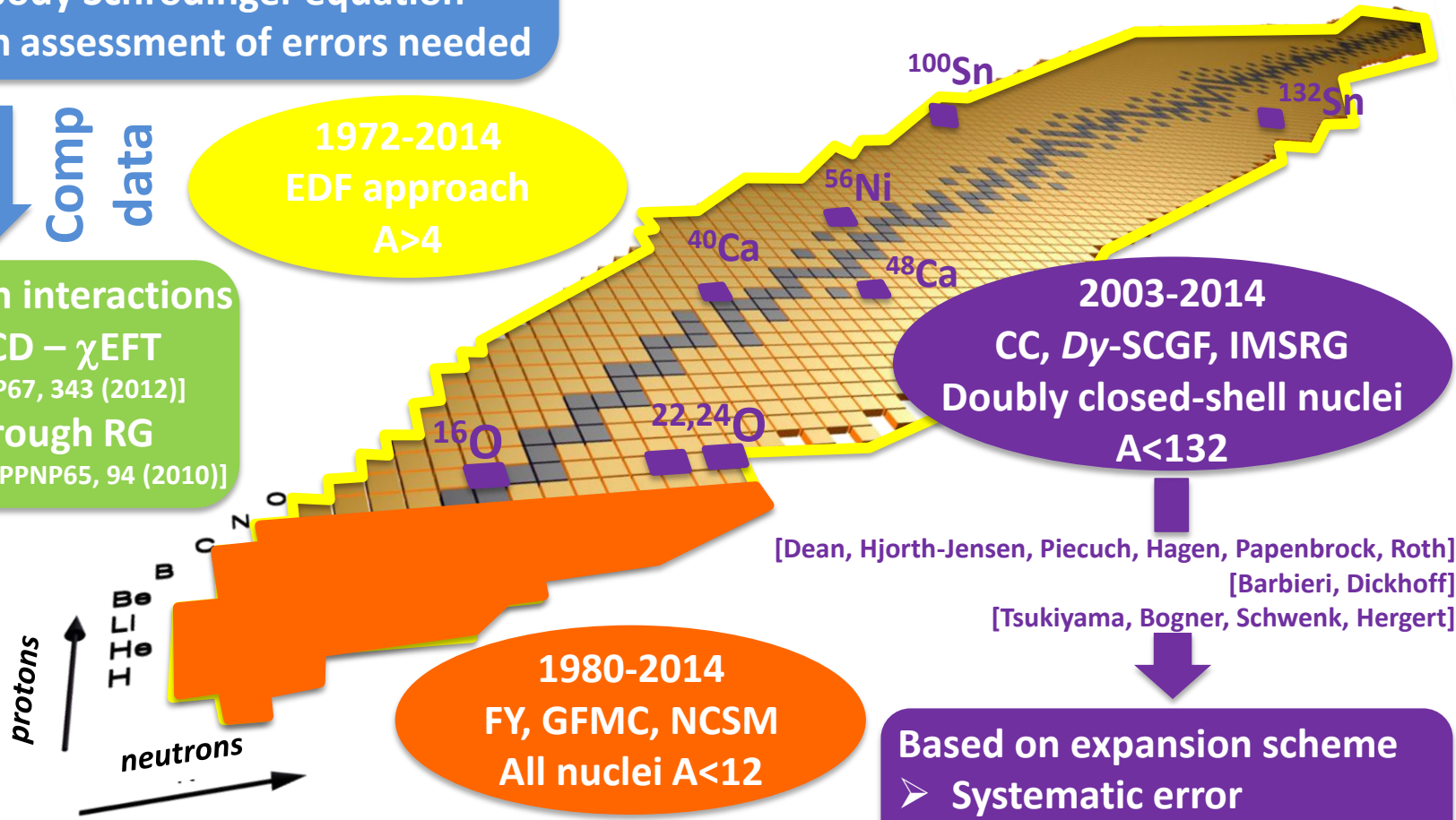
1972-2014
 EDF approach
 $A > 4$

2003-2014
 CC, D_y -SCGF, IMSRG
 Doubly closed-shell nuclei
 $A < 132$

1980-2014
 FY, GFMC, NCSM
 All nuclei $A < 12$

Based on expansion scheme

- Systematic error
- Cross-benchmarks needed



[Dean, Hjorth-Jensen, Piecuch, Hagen, Papenbrock, Roth]
 [Barbieri, Dickhoff]
 [Tsukiyama, Bogner, Schwenk, Hergert]

[Carlson, Pieper, Wiringa]
 [Barrett, Vary, Navratil, Ormand]

Ab-initio methods for singly open-shell nuclei

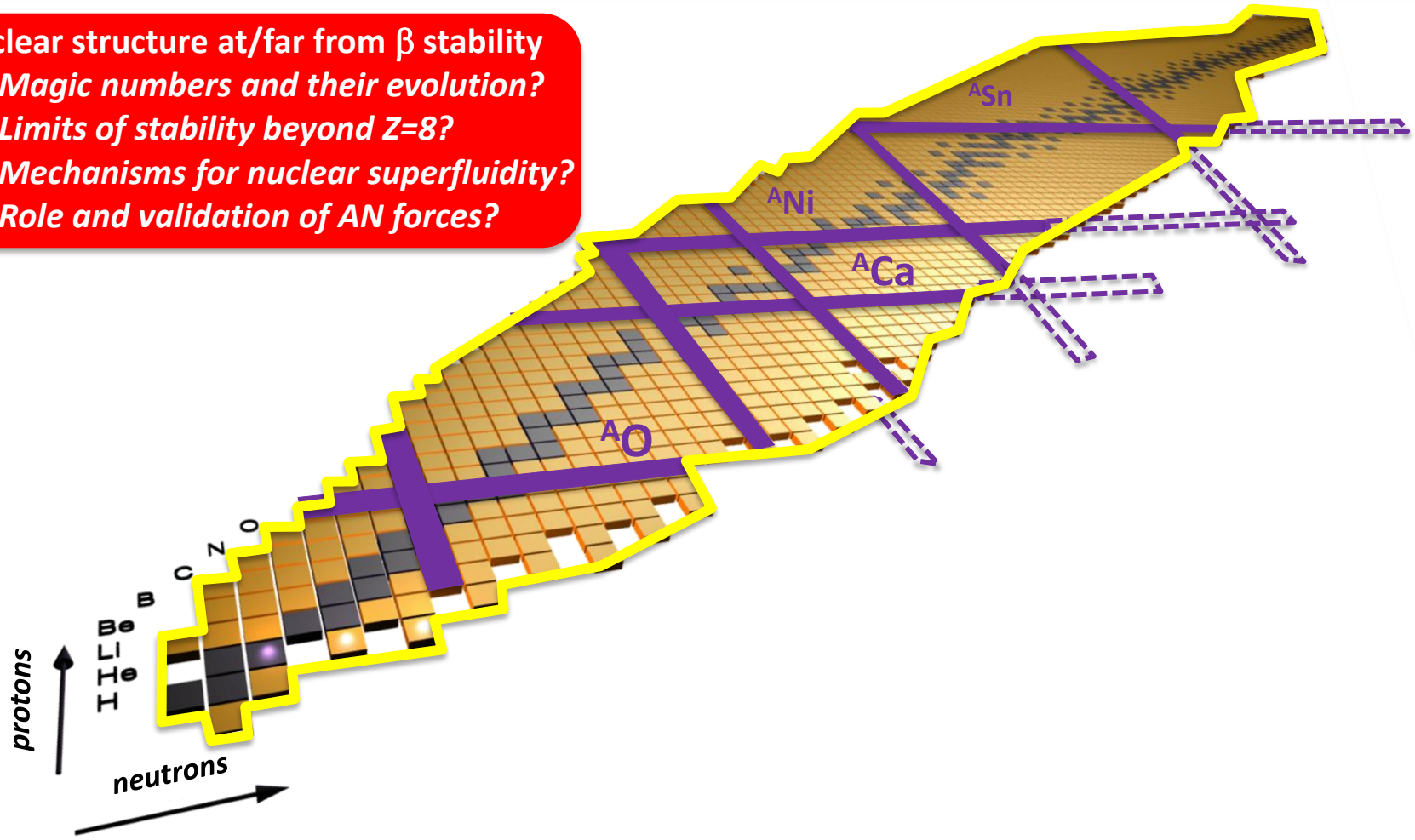


First objective: generalize many-body methods to study complete isotopic/isotonic chains

- From a few 10s of nuclei to several 100s of nuclei = strong overlap with EDF methods

Nuclear structure at/far from β stability

- *Magic numbers and their evolution?*
- *Limits of stability beyond $Z=8$?*
- *Mechanisms for nuclear superfluidity?*
- *Role and validation of AN forces?*



Ab-initio methods for singly open-shell nuclei

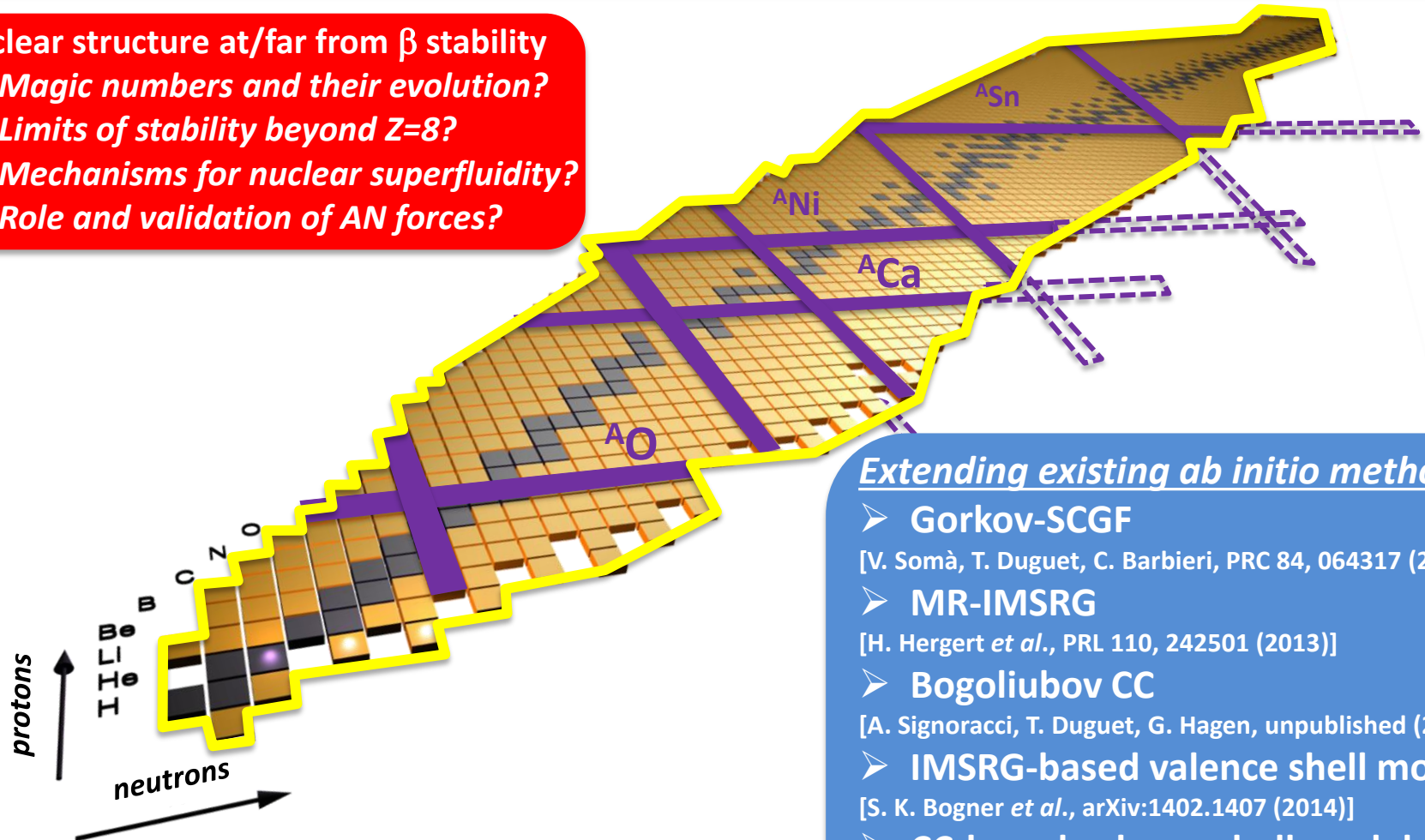


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Extending existing ab initio methods

- **Gorkov-SCGF**
[V. Somà, T. Duguet, C. Barbieri, PRC 84, 064317 (2011)]
- **MR-IMSRG**
[H. Hergert *et al.*, PRL 110, 242501 (2013)]
- **Bogoliubov CC**
[A. Signoracci, T. Duguet, G. Hagen, unpublished (2014)]
- **IMSRG-based valence shell model**
[S. K. Bogner *et al.*, arXiv:1402.1407 (2014)]
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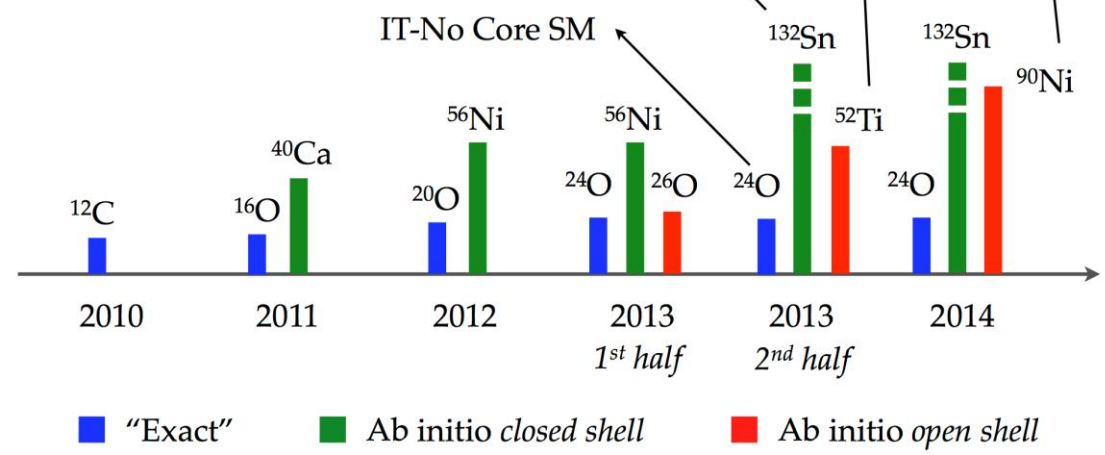
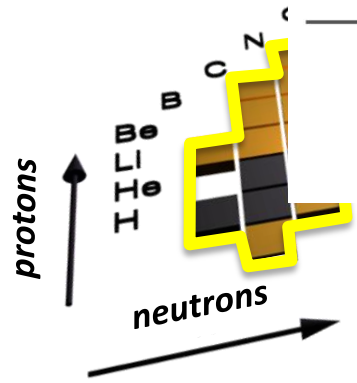
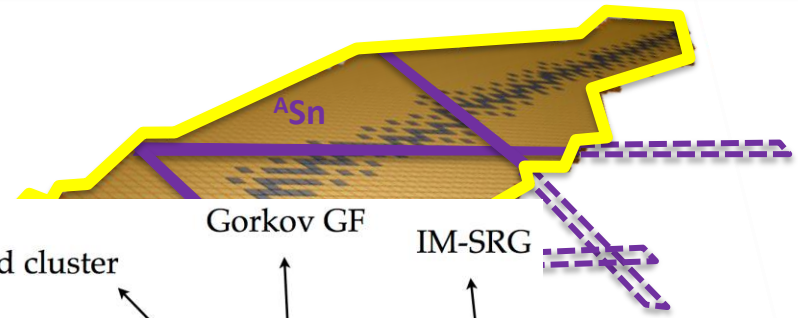
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NN+3N



Ab initio methods

[A. Signoracci, PRC 84, 064317 (2011)]

[S. K. Bogner et al., PRC 88, 054307 (2013)]

Generalized CC

- [A. Signoracci, T. Duguet, G. Hagen, unpublished (2014)]
- **IMSRG-based valence shell model**
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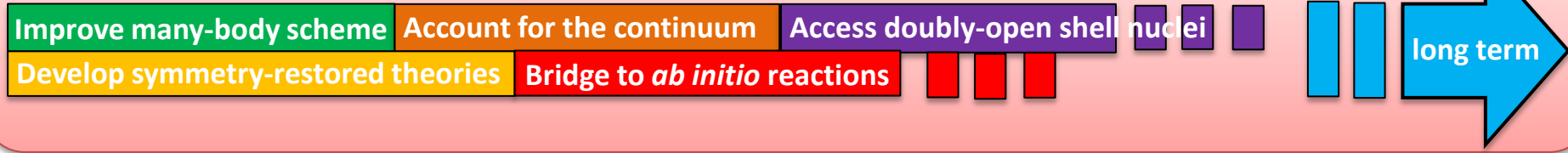
Potential interplay

Looking into the next 10 years

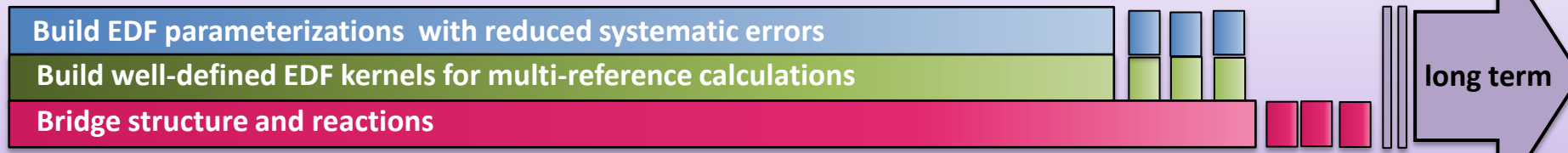


2014 2015 2016 2017 2018 2019 2020

Ab-initio



E.D.F.





Energy density functional method (schematic)

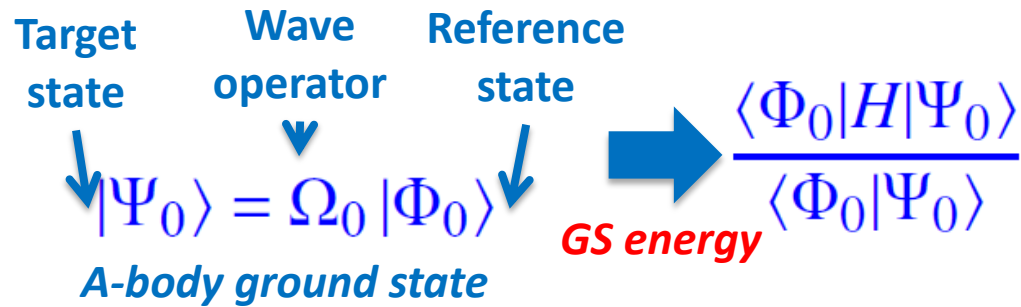
Target state Wave operator Reference state

$$|\Psi_0\rangle = \Omega_0 |\Phi_0\rangle$$

A-body ground state

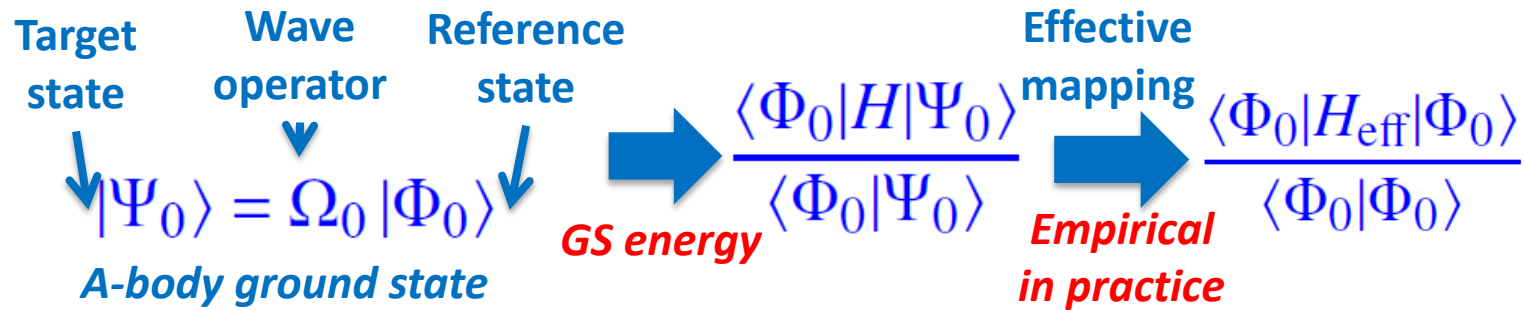


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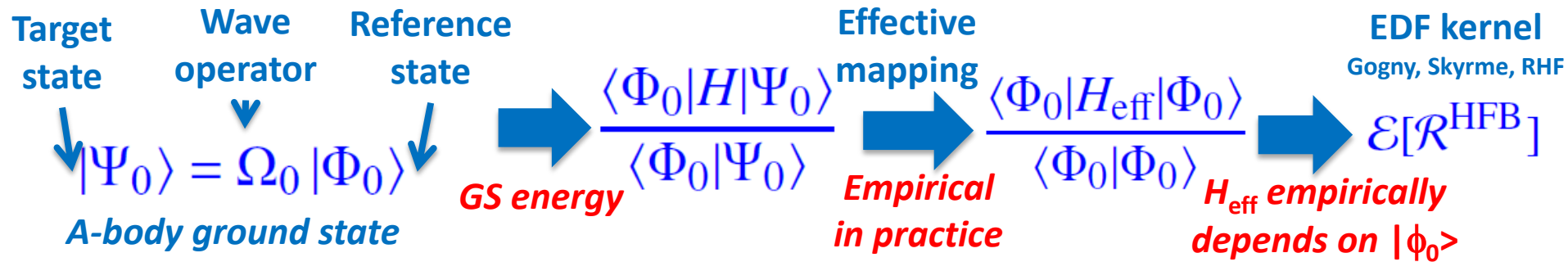


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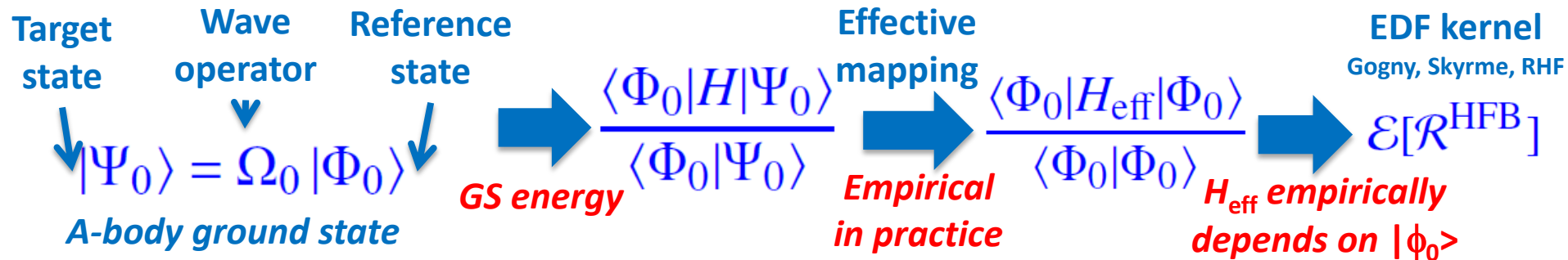


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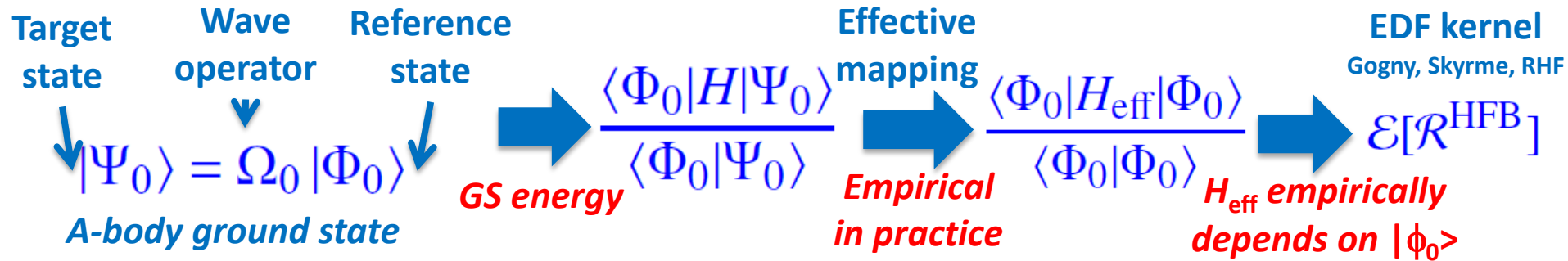


Closed shell

RHF reference
<i>conserves A, (J², M)</i>
<u>Bulk correlations</u> <i>Param. in $\mathcal{E}[\mathcal{R}^{\text{HFB}}]$</i> Vary smoothly with A



Energy density functional method (schematic)



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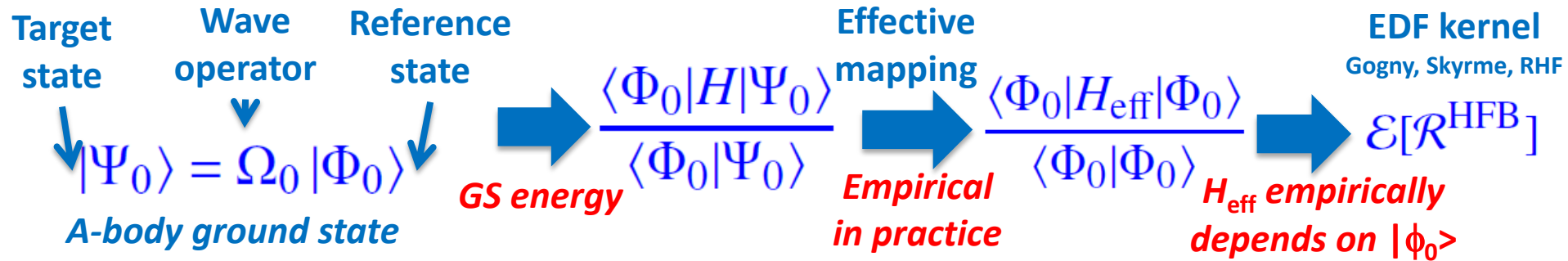
Param. in $\mathcal{E}[\mathcal{R}^{\text{HFB}}]$

Vary smoothly with A

Breaks down for open-shell nuclei



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UHF(B) reference

breaks $A, (J^2, M)$

Static correlations

Breaking symmetries

Vary smoothly with A_{sh}

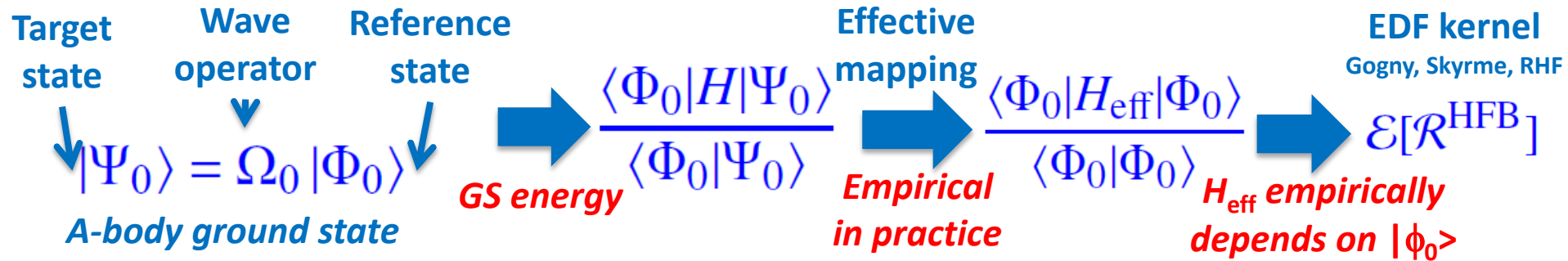
ph degeneracy

\leftrightarrow

Goldstone mode



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breaks $A, (J^2, M)$

Static correlations

Breaking symetries

Vary smoothly with A_{sh}

Contamination from other $A / (J^2, M)$

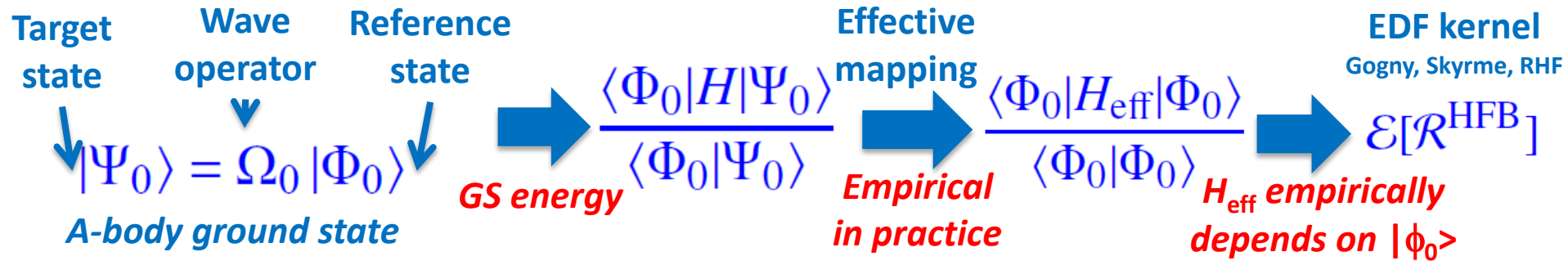
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ph degeneracy

\leftrightarrow

Goldstone mode

All

UHF(B) manifold

restores $A, (J^2, M)$

Dynamic correlations

Fluctuations of OP

Vary quickly with A_{sh}

Multi-reference character

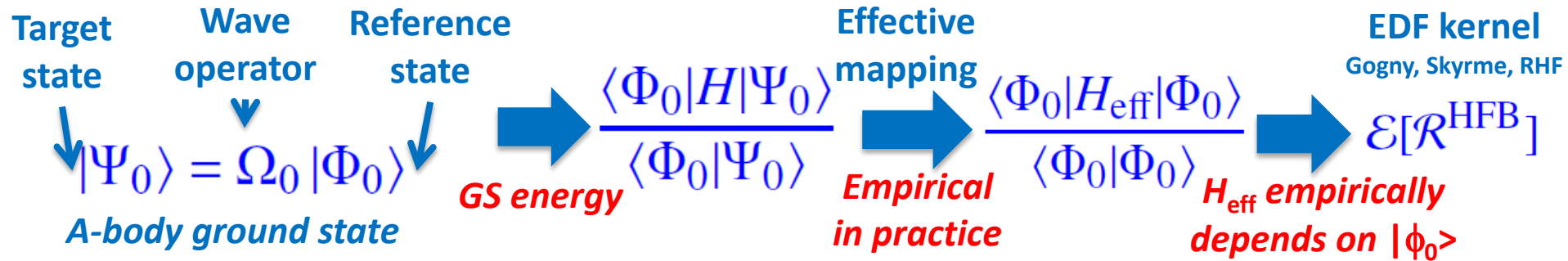
Finite inertia

\leftrightarrow

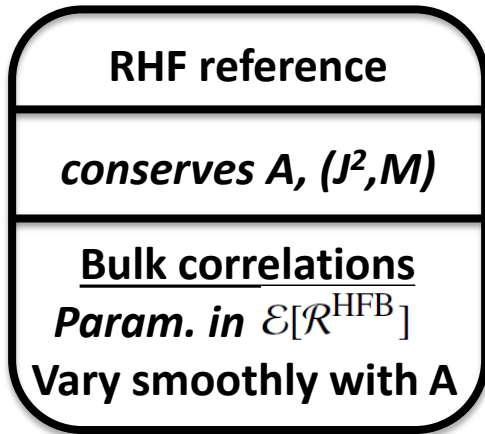
Resolve Goldstone mode



Energy density functional method (schematic)



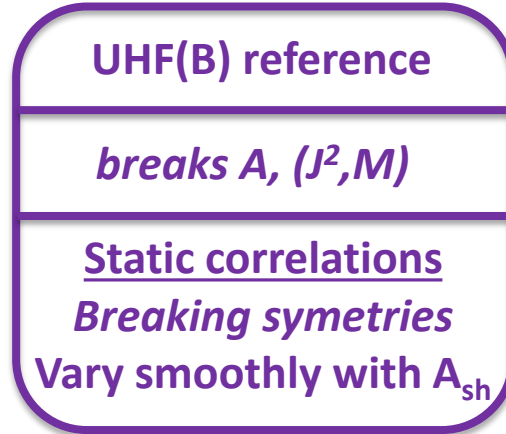
Closed shell



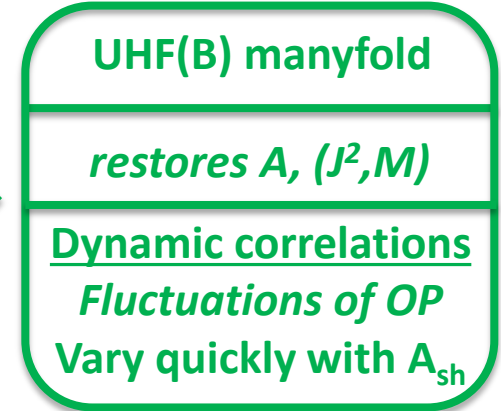
Empirical param based on T&E

- *No link with microscopy
- *Uncontrolled extrapolations
- *No clear path for improvement

Open shell



All



Multi-reference character

MR EDF method ill defined

- *SI and SP contaminations
- *Worse as we enrich it
- *Need microscopic guidance

[M. Bender, T. Duguet, D. Lacroix, PRC (2009)]

Ab-initio many-body methods (schematic)

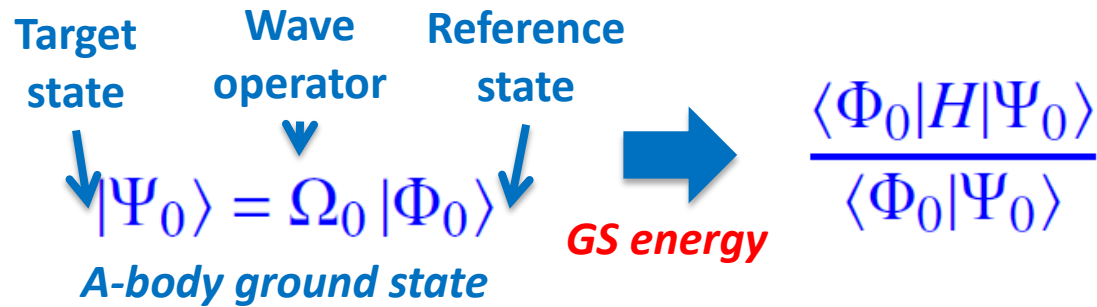


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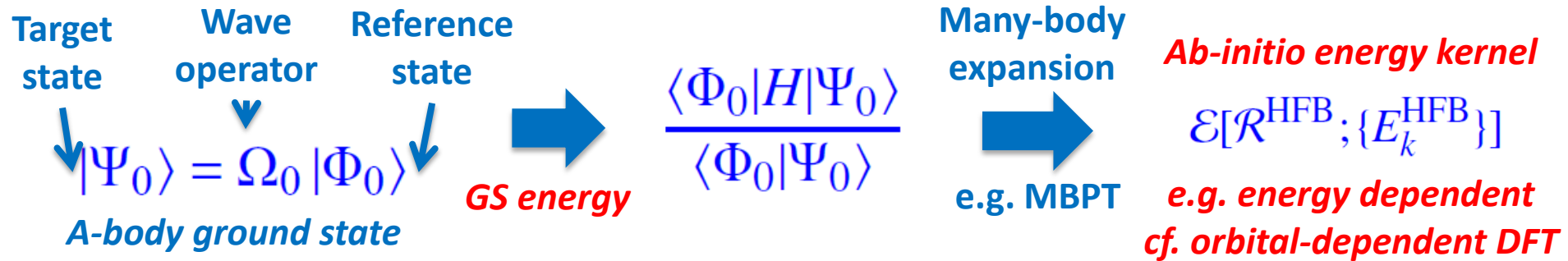
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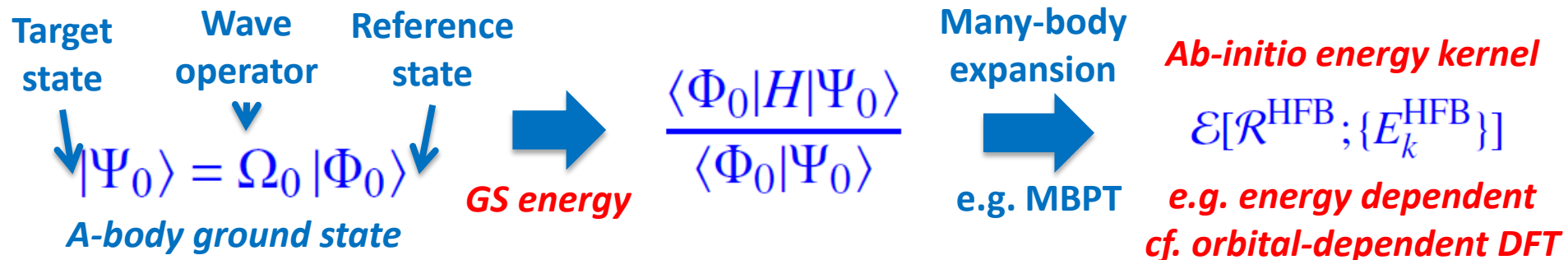


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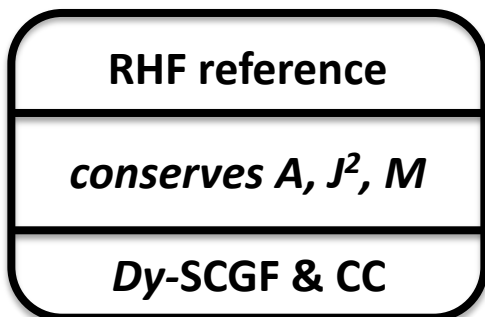




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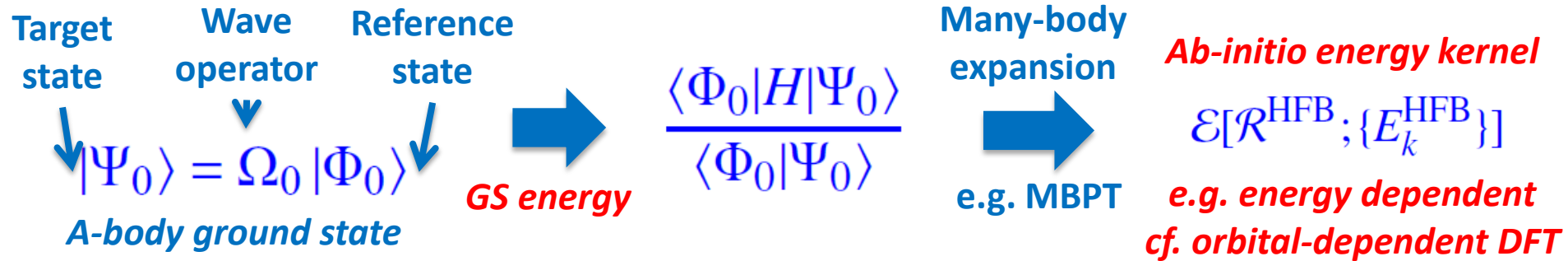


Closed shell





Ab-initio many-body methods (schematic)



Closed shell

RHF reference

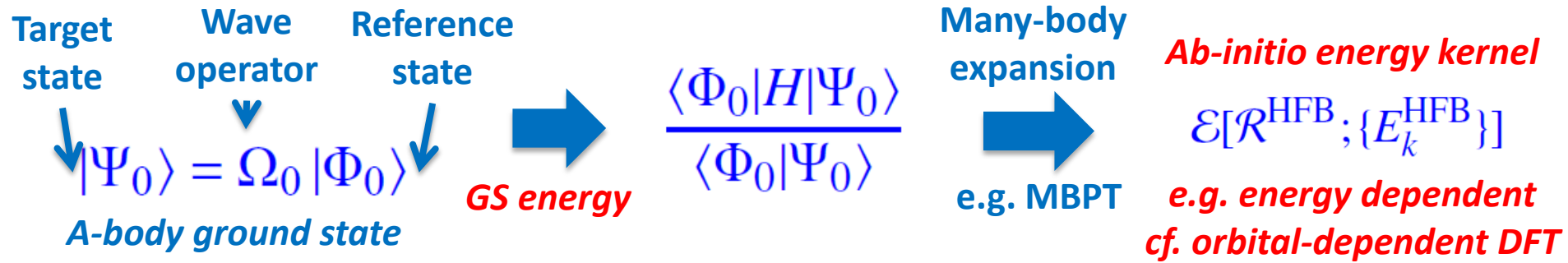
conserves A, J², M

Dy-SCGF & CC

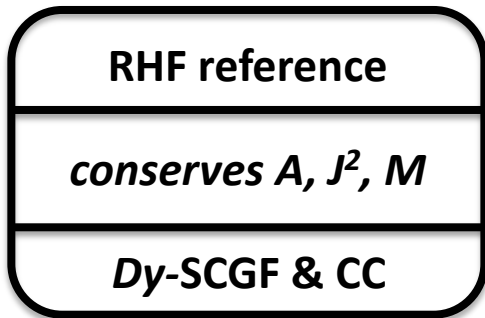
Breaks down for open-shell nuclei



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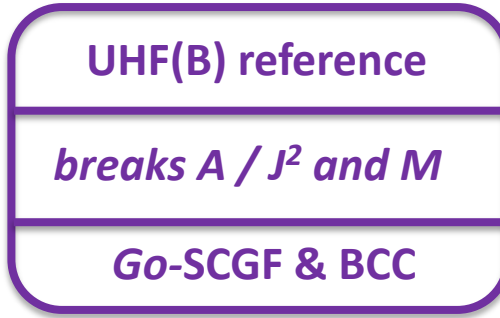


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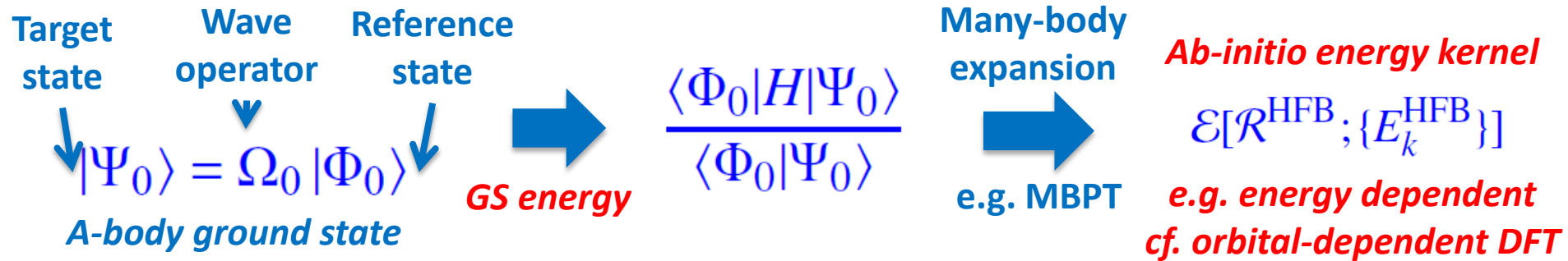
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Singly/doubly open shell

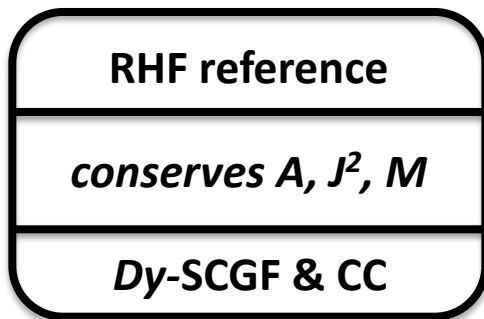




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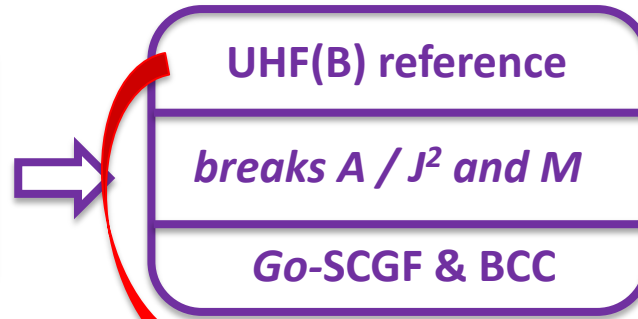


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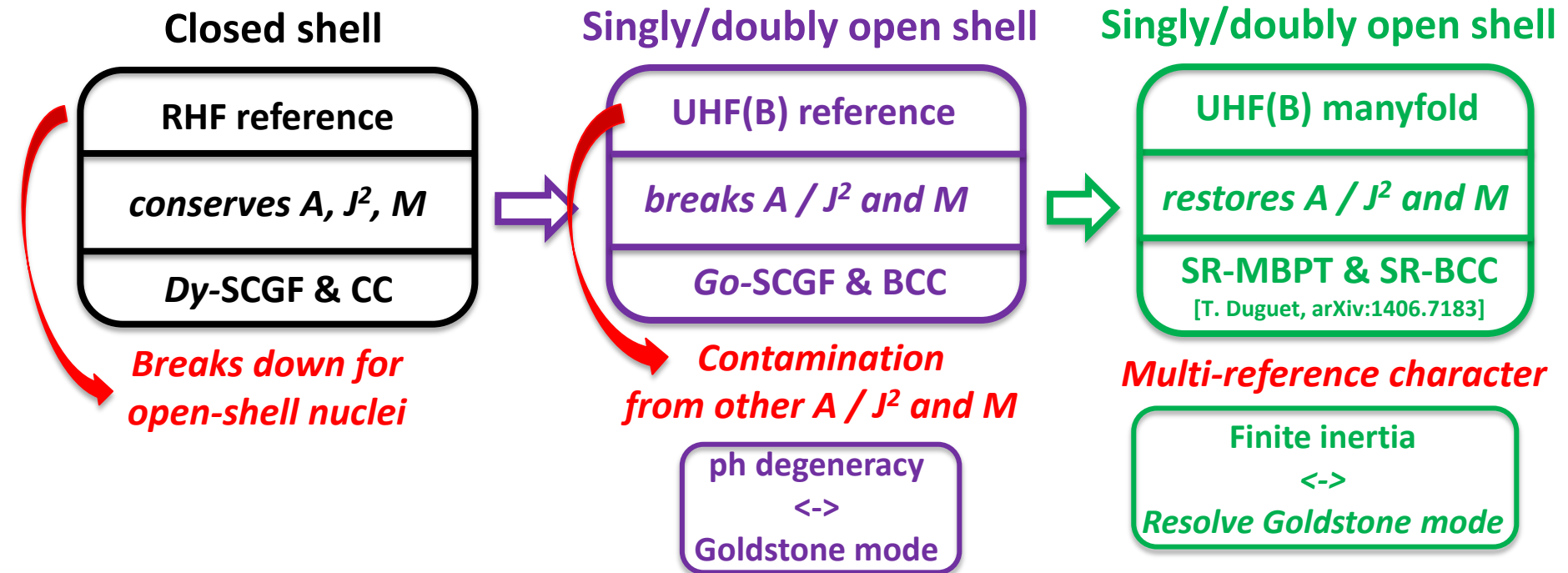
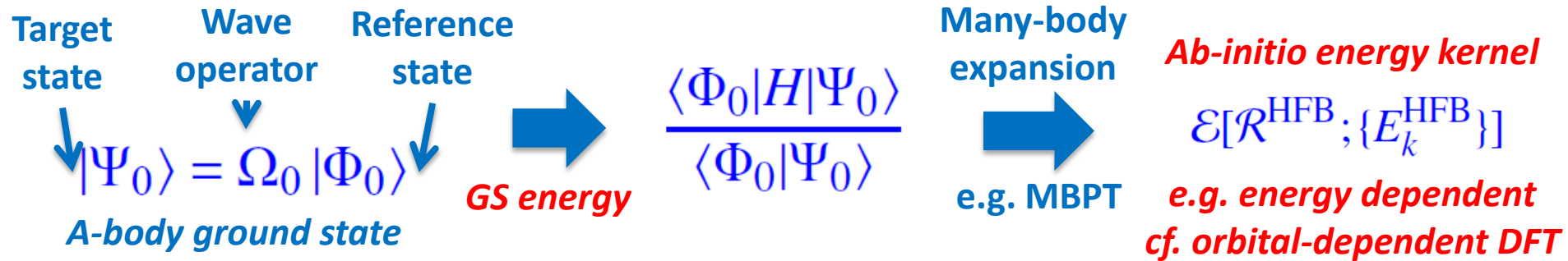


Contamination from other A / J^2 and M



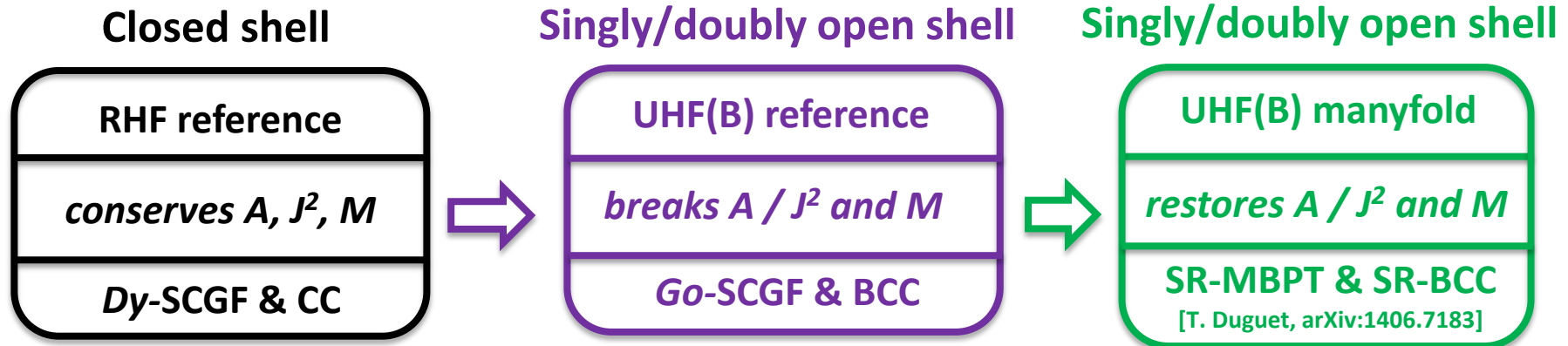
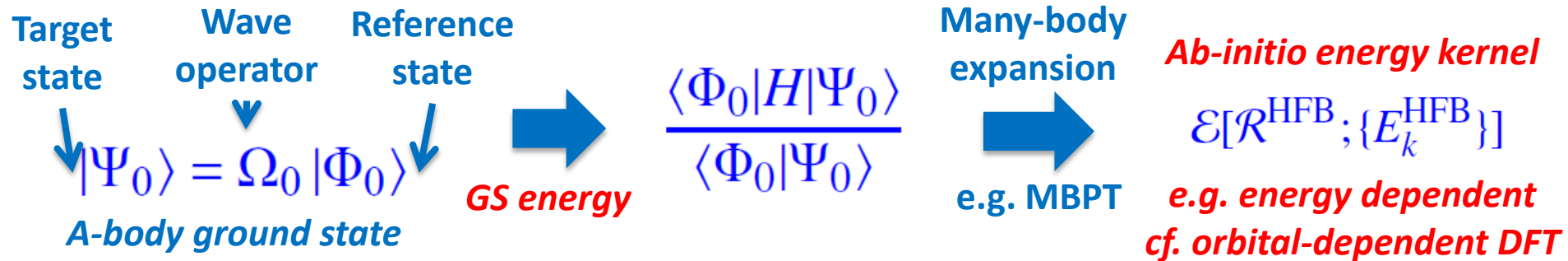


Ab-initio many-body methods (schematic)





Ab-initio many-body methods (schematic)



Ab initio energy kernel
 *Same symmetry B&R principles
 *More general: energy dependent
 *Richer: explicit correlations
 Can provide guidance for EDF kernel

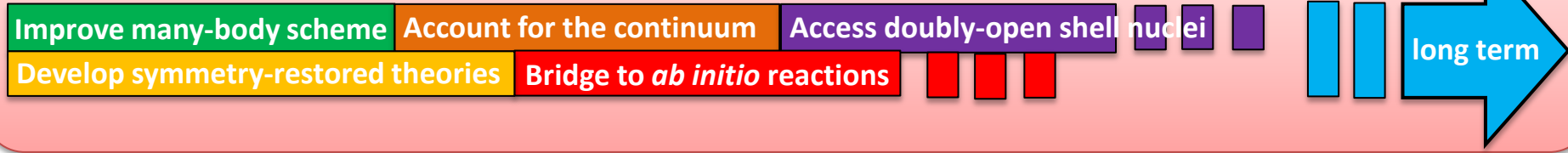
Multi-reference character
Ab initio MR method
 *Analytically well defined
 *No SI and SP contaminations
 *Microscopic guidance

Looking into the next 10 years

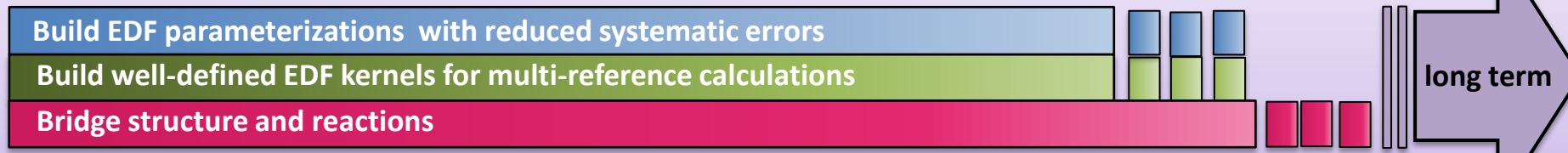


2014 2015 2016 2017 2018 2019 2020

Ab-initio



E.D.F.



Prepared with J.P. Ebran

Looking into the next 10 years



2014

2015

2016

2017

2018

2019

2020

Ab-initio

Improve many-body scheme

Account for the continuum

Access doubly-open shell nuclei

Develop symmetry-restored theories

Bridge to *ab initio* reactions

long term

Bring know-how from EDF

From predictive *ab-initio* methods and elementary inter-nucleon interactions

E.D.F.

Build EDF parameterizations with reduced systematic errors

Build well-defined EDF kernels for multi-reference calculations

Bridge structure and reactions

long term



Prepared with J.P. Ebran



Towards *ab-initio* optical potentials

[V. Somà, T. Duguet, C. Barbieri, PRC 84, 064317 (2011)]

Ab-initio Gorkov self-consistent Green's function theory

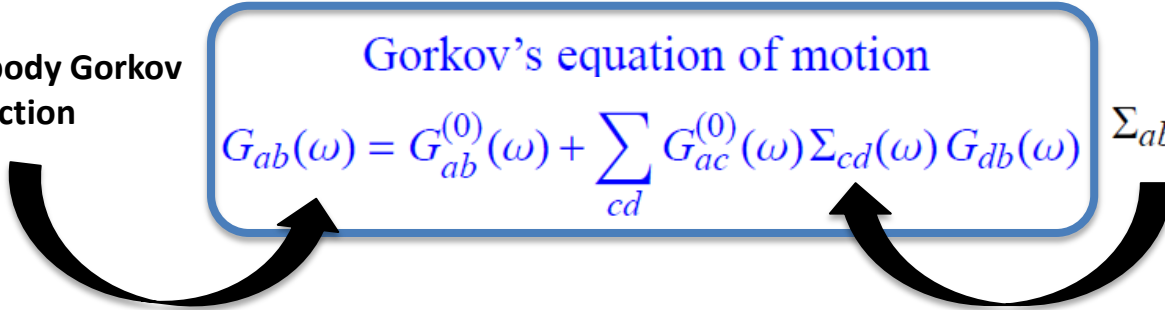
Dressed 1-body Gorkov
Green's function

Gorkov's equation of motion

$$G_{ab}(\omega) = G_{ab}^{(0)}(\omega) + \sum_{cd} G_{ac}^{(0)}(\omega) \Sigma_{cd}(\omega) G_{db}(\omega)$$

$$\Sigma_{ab}(\omega) \equiv \begin{pmatrix} \Sigma_{ab}^{11}(\omega) & \Sigma_{ab}^{12}(\omega) \\ \Sigma_{ab}^{21}(\omega) & \Sigma_{ab}^{22}(\omega) \end{pmatrix}$$

Irreducible self energy



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Irreducible self energy

$$\omega < 0$$

Bound 1-nucleon +/- energies

$$E_k^\pm \equiv \pm (E_k^{A\pm 1} - E_0^A)$$

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Irreducible self energy

$\omega < 0$

Nucleon-nucleus optical potential

$$\Sigma^{11}(\vec{r}, \vec{r}'; \omega) = \sum_{ab} \varphi_a^*(\vec{r}) \Sigma_{ab}^{11}(\omega) \varphi_b(\vec{r}')$$

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[C. Mahaux, R. Sartor, ANP 20, 1 (1991)]

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Consistent structure and reaction *ab-initio* information

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Gorkov's equation of motion

$$G_{ab}(\omega) = G_{ab}^{(0)}(\omega) + \sum_{cd} G_{ac}^{(0)}(\omega) \Sigma_{cd}(\omega) G_{db}(\omega)$$

$$\Sigma_{ab}(\omega) \equiv \begin{pmatrix} \Sigma_{ab}^{11}(\omega) & \Sigma_{ab}^{12}(\omega) \\ \Sigma_{ab}^{21}(\omega) & \Sigma_{ab}^{22}(\omega) \end{pmatrix}$$

$\omega > 0$

Irreducible self energy

$\omega < 0$

Nucleon-nucleus optical potential

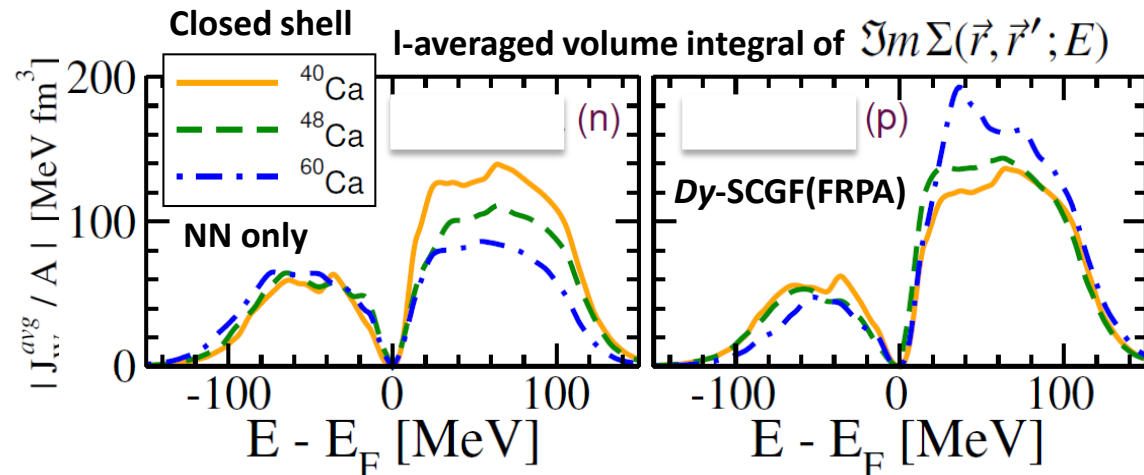
$$\Sigma^{11}(\vec{r}, \vec{r}'; \omega) = \sum_{ab} \varphi_a^*(\vec{r}) \Sigma_{ab}^{11}(\omega) \varphi_b(\vec{r}')$$

[C. Mahaux, R. Sartor, ANP 20, 1 (1991)]

Consistent structure and reaction *ab-initio* information

Bound 1-nucleon +/- energies

$$E_k^\pm \equiv \pm (E_k^{A\pm 1} - E_0^A)$$

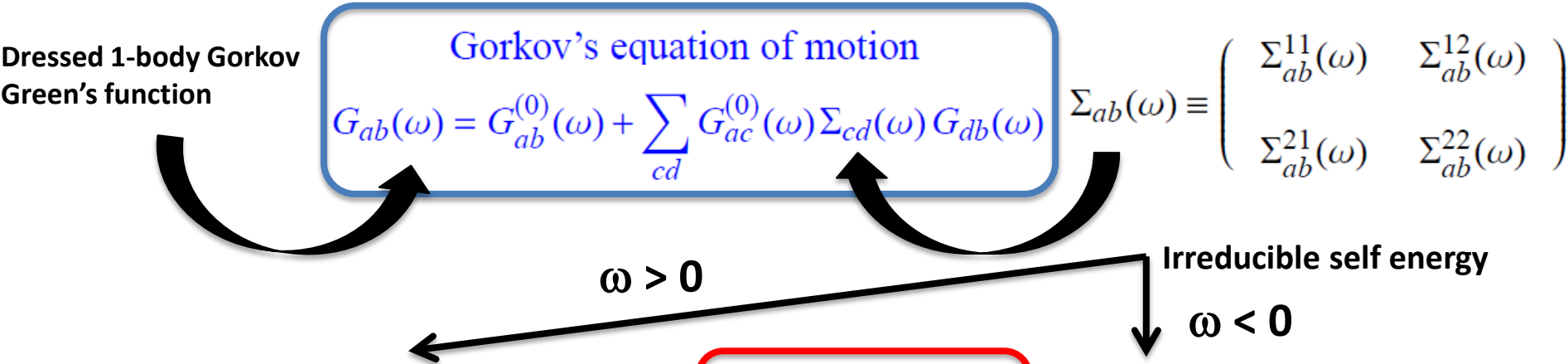


[S. J. Waldecker, C. Barbieri, W. H. Dickhoff, PRC 84, 034616 (2011)] 40/49

Towards *ab-initio* optical potentials

[V. Somà, T. Duguet, C. Barbieri, PRC 84, 064317 (2011)]

Ab-initio Gorkov self-consistent Green's function theory



Nucleon-nucleus optical potential

$$\Sigma^{11}(\vec{r}, \vec{r}'; \omega) = \sum_{ab} \varphi_a^*(\vec{r}) \Sigma_{ab}^{11}(\omega) \varphi_b(\vec{r}')$$

Consistent structure and reaction *ab-initio* information

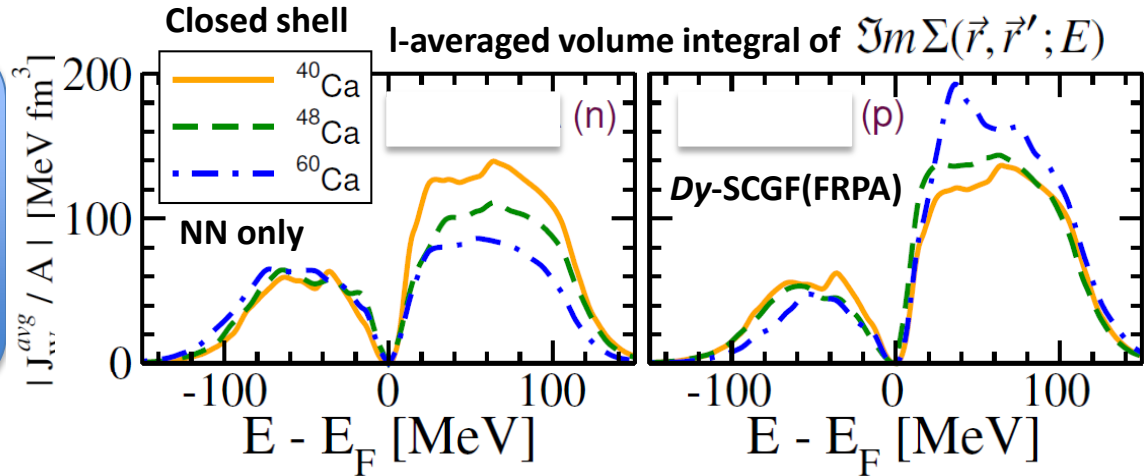
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[C. Mahaux, R. Sartor, ANP 20, 1 (1991)]

- Ab-initio* optical potential**

 - * In closed and open shell nuclei
 - * Nucleus by nucleus access
 - * Constrain effective parametrization
 - *Non locality (i.e. l dependence)*
 - *Isospin dependence*



[S. J. Waldecker, C. Barbieri, W. H. Dickhoff, PRC 84, 034616 (2011)] 41/49

Looking into the next 10 years



2014

2015

2016

2017

2018

2019

2020

Ab-initio

Improve many-body scheme

Account for the continuum

Access doubly-open shell nuclei

Develop symmetry-restored theories

Bridge to *ab initio* reactions

long term

Bring know-how from EDF

From predictive *ab-initio* methods and elementary inter-nucleon interactions

E.D.F.

Build EDF parameterizations with reduced systematic errors

Build well-defined EDF kernels for multi-reference calculations

Bridge structure and reactions

long term



Prepared with J.P. Ebran



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FAIR
Facility for Antiproton and Ion Research
in Europe GmbH

FACILITY FOR RARE ISOTOPE BEAMS

Prepared with J.P. Ebran

cea



cea
ESNT
Espace de Structure Nucléaire Théorique
DSM - DAM

RIBF
RIKEN Nishina Center for Accelerator-Based Science
Introduction to RI Beam Factory and Users' Information

GANIL
laboratoire commun CEA/DSM
CNRS/IN2P3

State-of-the-art *ab-initio* calculations

Binding energy of A_O

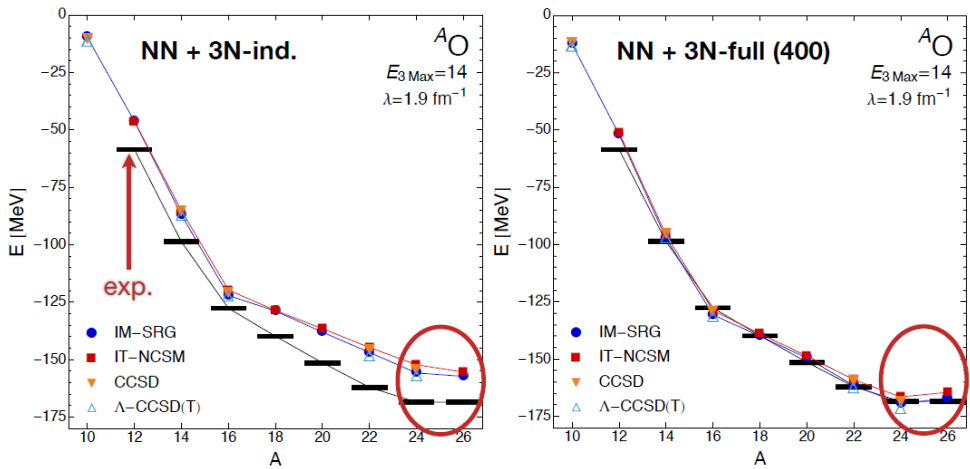
- CC, IMSRG, IT-NCSM
- $E_{\text{max}} = 15$ HO shells
- $E_{3\text{max}} = 14$

↑
Input

Inter-nucleon interactions

- Chiral 2N ($N^3\text{LO}$; $\Lambda_{2\text{NF}} = 500 \text{ MeV}/c$)
[D.R. Entem, R. Machleidt, PRC 68, 041001 (2003)]
- Chiral 3N ($N^2\text{LO}$; $\Lambda_{3\text{NF}} = 400 \text{ MeV}/c$)
[P. Navratil, FBS 41, 117 (2007)]
- SRG evolved down to $\lambda = 1.9 \text{ fm}^{-1}$

[H. Hergert *et al.*, PRL 110, 242501 (2013)]



Similarly for *Dy*-SCGF-ADC(3)

[A. Cipollone, C. Barbieri, P. Navratil., PRL 111, 062501 (2013)]

State-of-the-art *ab-initio* calculations

Binding energy of A_0

- CC, IMSRG, IT-NCSM
- $E_{\text{max}} = 15$ HO shells
- $E_{3\text{max}} = 14$

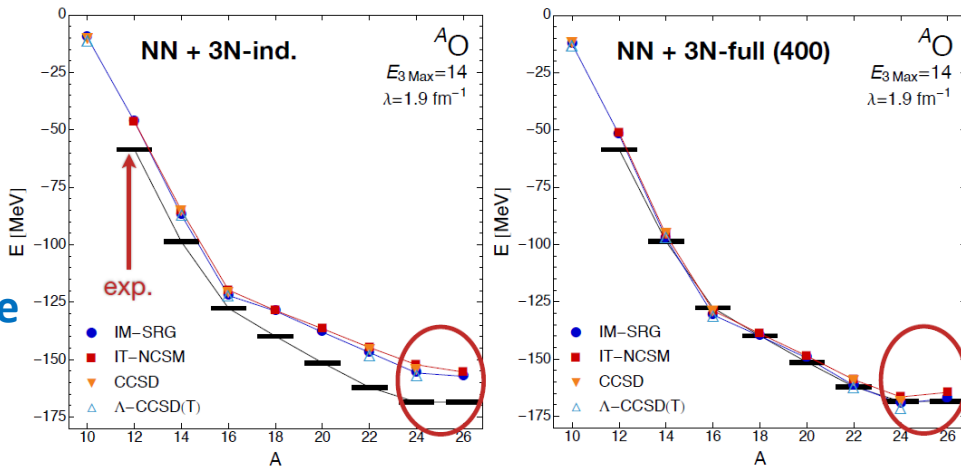


- Original 3N mandatory
- Correct location of drip-line

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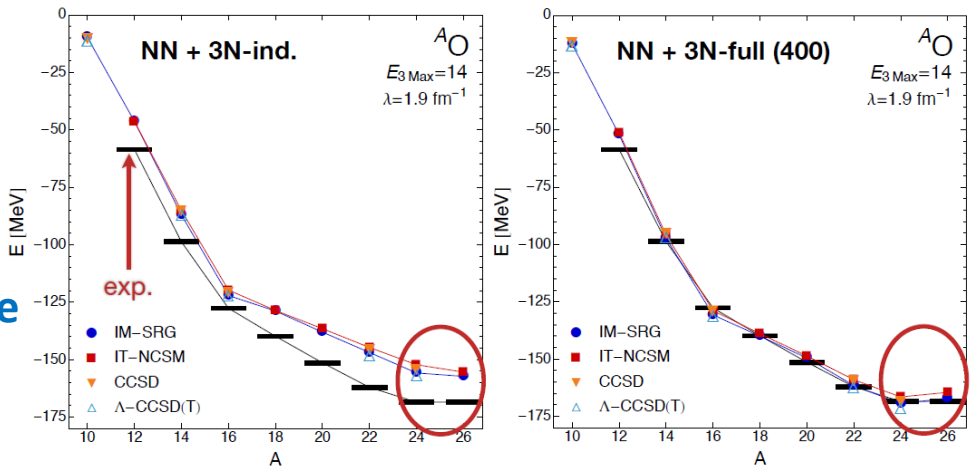
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Many-body methods

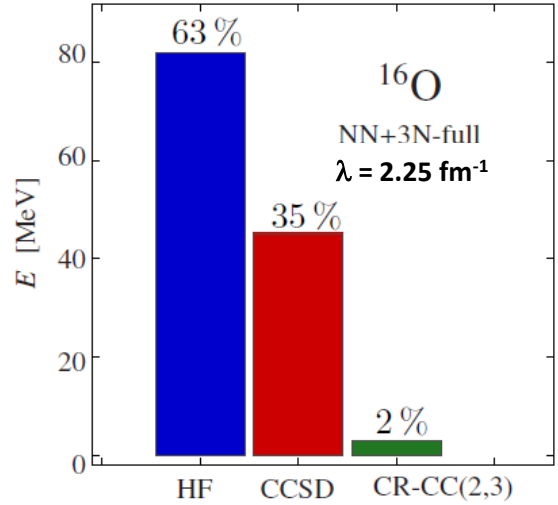
- Excellent cross-benchmarks!
- Converging expansions to $\sim 1\%$
- Various systematic errors $\sim 1\text{-}2\%$
 - Omitted induced AN forces
 - Basis truncations (SRG, 3NF, NO2B)

[H. Hergert *et al.*, PRL 110, 242501 (2013)]



Similarly for *Dy*-SCGF-ADC(3)

[A. Cipollone, C. Barbieri, P. Navratil., PRL 111, 062501 (2013)]



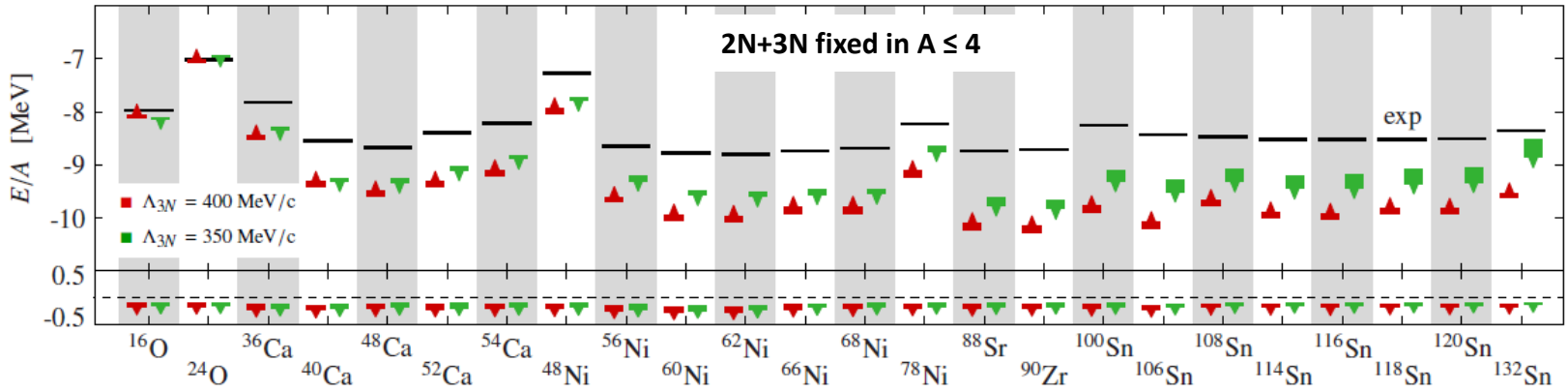
[S. Binder *et al.*, PLB 736 (2014) 119]



Towards heavier and open-shell nuclei

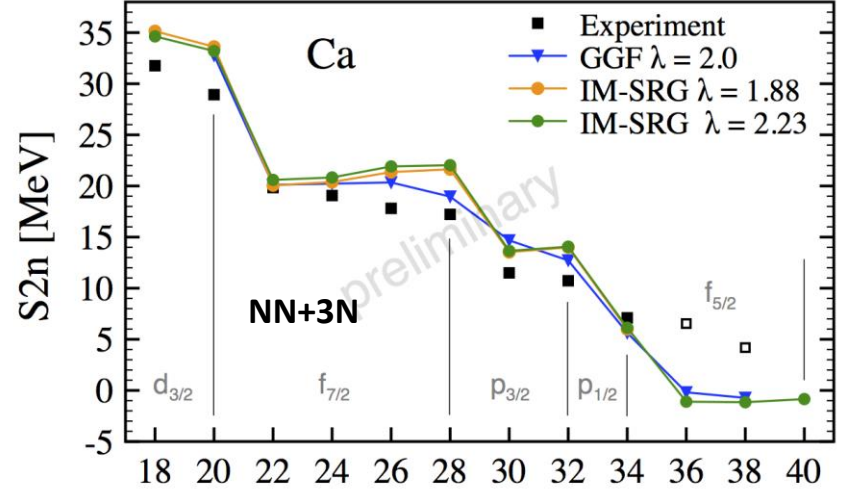
Binding energy per particle in closed (sub)shell nuclei up to ^{132}Sn

[S. Binder *et al.*, PLB 736 (2014) 119]



CR-CC(2,3) + SRG
 $E_{\text{max}} = 12 \text{ HO shells}$
 $E_{3\text{max}} = 18$

Two-neutron separation energy along $Z=20$



[Somà *et al.*, PRC 89, 061301 (2014)]
Go-SCGF(ADC[2]) + SRG
 $E_{\text{max}} = 14 \text{ HO shells}$
 $E_{3\text{max}} = 16$

- From first generation of calculations**
- E/A trend correct from 3NF
 - Systematic over binding by $\sim 1\text{MeV}$
 - Charge radii consistently too small by $\sim 20\%$
 - Relative energies satisfactory
 - Magic $N=20,28$ arise from 3NF but exaggerated

Current Chiral 2NF+3NF put to critical test
Saturation? High partial waves? Chiral order? Δ -full?



Improvements needed on many-body/interactions
to provide precise enough pseudo-data

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Nuclear pseudo-data away from experimentally accessible regions

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